

03-12-00

7

# FISH & RICHARDSON P.C.

225 Franklin Street  
Boston, Massachusetts  
02110-2804

Telephone  
617 542-5070

Facsimile  
617 542-8906

Web Site  
www.fr.com

1c525 U.S. PTO  
09/522434

03/09/00

March 9, 2000

Attorney Docket No.: 11544-003001

## Box Patent Application

Assistant Commissioner for Patents  
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: FENG-NIEN KO, CHIEN-JEN SHIH, JE-YIE LIN, PEY-CHYI WU  
AND MO-CHI CHENG

Title: ANTI-ULCER PHARMACEUTICAL COMPOSITION AND THE  
PREPARATION THEREOF

Enclosed are the following papers, including those required to receive a filing date  
under 37 CFR 1.53(b):

	<u>Pages</u>
Specification	12
Claims	5
Abstract	1
Declaration	2

### Enclosures:

- Assignment cover sheet and an assignment, 2 pages, and a separate \$40 fee.
- Small entity statement. This application is entitled to small entity status.
- A certified copy of the priority application will be filed at a later date.
- Postcard.

### CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL245450172US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D C 20231

March 9, 2000

Date of Deposit

Signature

Christina Martinez  
Christina Martinez

Typed or Printed Name of Person Signing Certificate

03/09/00  
1c784 U.S. PTO  
Frederick P. Fish  
1855-1930  
K. Richardson  
1859-1951

BOSTON  
DELAWARE  
NEW YORK  
SAN DIEGO  
SILICON VALLEY  
TWIN CITIES  
WASHINGTON, DC

FISH & RICHARDSON P.C.

Assistant Commissioner for Patents

March 9, 2000

Page 2

Under 35 USC 119, this application claims the benefit of a foreign priority application filed in Taiwan, serial number 89100334, filed January 11, 2000.

Basic filing fee	\$345
Total claims in excess of 20 times \$9	\$18
Independent claims in excess of 3 times \$39	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$363

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

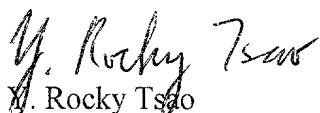
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Y. ROCKY TSAO  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Respectfully submitted,



Y. Rocky Tso  
Reg. No. 34,053

Enclosures

DZS/dzs

20036109 doc

Applicant or Patentee: Feng-Nien KO, Chien-Jen SHIH, Je-Yie

LIN, Pey-Chyi WU and Mo-Chi CHENG

Attorney's

Serial or Patent No.:

Docket No.:

Filed or Issued:

For: ANTI-ULCER PHARMACEUTICAL COMPOSITION AND THE PREPARATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27 (d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION PHARMACEUTICAL INDUSTRY TECHNOLOGY AND DEVELOPMENT CENTER

ADDRESS OF ORGANIZATION 5F, NO. 101, LANE 169 KANG NING ST., HSI CHIH CITY,  
TAIPEI HSIEN, TAIWAN, R.O.C.

TYPE OF ORGANIZATION

( ) UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

( ) TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))

(X) NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNI-  
TED STATES OF AMERICA

(NAME OF STATE \_\_\_\_\_)

(CITATION OF STATUTE \_\_\_\_\_)

( ) WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26  
USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA

( ) WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF  
STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES  
OF AMERICA

(NAME OF STATE \_\_\_\_\_)

(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in  
37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with  
regard to the invention entitled ANTI-ULCER PHARMACEUTICAL COMPOSITION AND THE  
PREPARATION by inventor(s) Feng-Nien KO, Chien-Jen SHIH, Je-Yie LIN, Pey-Chyi WU and  
Mo-Chi CHENG described in

(X) the specification filed herewith

( ) application serial no. \_\_\_\_\_, filed \_\_\_\_\_

( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have declare that rights under contract or law have been conveyed to and remain with the nonprofit organization  
with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having  
rights to the invention is listed below\* and no rights to the invention are held by any person, other than the  
inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would  
not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights  
to the invention averring to their status as small entities (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of  
entitlement to small entity status prior to paying, or at the time paying, the earliest of the issue fee or any  
maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on  
information and belief are believed to be true; and further that these statements were made with the knowledge that  
willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001  
of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the  
application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Shing-Tzuok TSAI

TITLE IN ORGANIZATION President

ADDRESS OF PERSON SIGNING 5F, NO. 101, LANE 169 KANG NING ST., HSI CHIH CITY, TAIPEI  
HSIEN, TAIWAN, R.O.C.

SIGNATURE [Signature] DATE Feb. 15, 2000

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

TITLE: ANTI-ULCER PHARMACEUTICAL COMPOSITION AND  
THE PREPARATION THEREOF

APPLICANT: FENG-NIEN KO, CHIEN-JEN SHIH, JE-YIE LIN, PEY-  
CHYI WU AND MO-CHI CHENG

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL245450172US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

March 9, 2000

Date of Deposit

Signature

Christina Martinez  
Typed or Printed Name of Person Signing Certificate

**TITLE**

**ANTI-ULCER PHARMACEUTICAL COMPOSITION AND THE PREPARATION  
THEREOF**

**BACKGROUND OF THE INVENTION**

**Field of the Invention**

The present invention relates to pharmaceutical compositions useful for the prevention and/or treatment of peptic ulcer diseases. More particularly, it relates to the use of American ginseng or the extract thereof as the active ingredient for the prevention and treatment of peptic ulcer diseases.

**Description of the Related Arts**

American ginseng (*Panax quinquefolium* L.) is one species of Araliaceae, which is the North American variety of ginseng native to the United States and Canada. The *Panax* plants in Araliaceae, such as *Panax ginseng*, *Panax quinquefolium*, *Panax pseudo-ginseng* etc, have been used as a form of tonic medicine in Chinese for a long period of time, and *Panax ginseng* is traditionally considered a valuable medicinal material in China, Japan and Korea. After harvesting, *Panax ginseng* with good quality is generally treated with boiling water or steam to give red ginseng. *Panax ginseng* which has been dried by hot air or sunlight is called white ginseng or unprocessed ginseng. The American ginseng is an herbaceous perennial and its root is mainly used as a nutritious tonic agent. Its morphology is similar to *Panax ginseng*, but has less fiber-like or lateral roots. At present, the American ginseng is artificially cultivated

in the United States, Mainland China and Russia. Many reports have shown some components of American ginseng are similar to *Panax ginseng*, including several kinds of ginseng saponins, oligosaccharides, volatile oils, amino acids, vitamins and trace elements. It is traditionally believed that both American ginseng and *Panax ginseng* possess effects of increasing physical strength, nourishing and preserving health, and prolonging life. Thus, they are regarded as mild tonics used for daily dietary or medicinal remedy.

Recently, a number of scientific reports show that the American ginseng indeed possesses a variety of physiological or pharmaceutical activities, including anti-aging (Xiao P.G. et. al., 1993, *Journal of Ethnopharmacology* **38**(2-3):167-75); preventing atherosclerosis and hyperlipidemia (Li J. et. al., 1999, *Life Science* **64**(1):53-62); protecting liver from injury (Yoshikawa M. et. al., 1998, *Chemical and Pharmaceutical Bulletin* **46**(4):647-54); enhancing the function of cardiovascular system (Kwan C. Y., 1995, *Clinical and Experimental Pharmacology and Physiology-Supplement* **1**:S297-9; Yang S., 1992, *China Journal of Chinese Material Medica* **17**(9):555-7 and US Patent No. 4,708,949); preventing memory dysfunction and dementia (Benishin C. G., 1991, *Pharmacology* **42**(4):223-9; Li Z. et. al., 1999, *Journal of Pharmacy and Pharmacology* **51**(4):435-40; Lewis R. et. al., 1999, *Phytotherapy Research* **13**(1):59-64); decreasing hyperglycemia (Oshima Y. et. al., 1987, *Journal of Natural Products* **50**(2):188-90; Martinez S. and Staba E. J., 1984, *Japanese Journal*

of *Pharmacology* **35**(2):79-85); inhibition of breast cancer cells (Duda R. B. et. al., 1996, *Annals of Surgical Oncology* **3**(6):515-20); enhancing physical strength; antiviral activity (US Patent No. 5,071,839);  
5 anti-oxidation; decreasing the side effects of anticancer chemotherapy and radiotherapy (US Patent No. 4,945,115); modulating gastric digestion (Yuan C. S. et. al., 1998, *American Journal of Chinese Medicine* **26**(1):47-55); and increasing the immune function (US Patent No.  
10 4,795,742) etc.

Recently, the population with gastrointestinal diseases has been increasing, especially in highly developmental countries. The causes of peptic ulcers include unrelieved daily pressure; excessive alcohol irritation; the side  
15 effects of drugs, such as aspirin or non-steroid anti-inflammatory drugs; or *Helicobacter pylori* infection. The predominant drugs used to treat peptic ulcers include muscarinic antagonists, such as methscopolamine bromide; H<sub>2</sub> blockers, such as  
20 cimetidine; antacids, such as aluminum hydroxide or magnesium hydroxide; H<sup>+</sup>/K<sup>+</sup> ATPase inhibitor, such as omeprazole; anti-bacterial drugs, such as admixture of amoxicillin and metronidazole. Such drugs may be classified into two categories: one is used for  
25 physical protection of gastric mucosa to mitigate the irritation of the gastric acid to the mucosa ulcer site; the other is used for chemically inhibiting the secretion of the gastric acid, to avoid ulceration produced from excessive gastric  
30 acid erosion. The prevalence of peptic ulcers and

their high rate of recurrence may due to patients' life style or season change and many patients repeatedly suffer from peptic ulcers. Thus, there is a need for a safe, mild and effective drug for treating and preventing peptic ulcers.

#### **SUMMARY OF THE INVENTION**

It is an object of the present invention to provide pharmaceutical compositions which are effective in preventing and/or treating peptic ulcer diseases, comprising an effective amount of American ginseng and/or the extract thereof, and a physiologically or pharmaceutically acceptable carrier.

An additional object of the present invention is to provide a process of preparing the extracts of the American ginseng described above, comprising the steps of (a) extracting American ginseng with a solvent with a polarity higher than 0.88 to obtain an extract; (b) filtering the extract to obtain a filtrate; and (c) centrifuging the filtrate to obtain a supernatant (total extract).

The preparation process according to the present invention may further comprise the means of ultrafiltrating, dialyzing, precipitating with ethanol, or performing reverse phase chromatography, to obtain certain fractions of American ginseng extract.

Another object of the present invention is to provide methods for preventing and/or treating a patient suffering from peptic ulcer, comprising administering an effective amount of American ginseng and/or the extracts thereof to said patient.



**DETAILED DESCRIPTION OF THE INVENTION**

In accordance with the present invention, there is provided a process of preparing the pharmaceutical compositions comprising American ginseng or the extract thereof. First, American ginseng is extracted with a solvent with a polarity higher than 0.88 to obtain an extract. Suitable solvent includes water, ethanol, methanol or the mixtures thereof, preferably water or ethanol, and more preferably 10% ~ 80% ethanol aqueous solution. Next, the extract is filtered to remove plant residues, and then centrifuged to remove microparticles and impurities. The resulting supernatant (total extract) is concentrated into an appropriate concentration, and then further treated by one or more of the following processes: ultrafiltrating, dialyzing, precipitating with ethanol, or performing reverse phase chromatography, to obtain various fractions of American ginseng extract.

In the process described above, ultrafiltrating is a step which the total extract is filtered by the ultrafiltration membrane with molecular weight cut off 1,000 or 3,000 to give a retentate and a filtrate. Dialyzing is a step in which the total extract is dialyzed by a membrane or dialysis bag with molecular weight cut off 500 to remove smaller molecules. Precipitating with ethanol is a step in which the filtrate obtained from ultrafiltration is concentrated to dry and then dissolved with 50% ~ 100% ethanol to obtain the soluble portion. Performing reverse phase chromatography is a step in which the filtrate obtained from ultrafiltration is loaded onto a reverse phase polyaromatic resin column, such as Diaion HP-20 (Sigma, Cat.

No. I-3605), to elute the active fraction of American ginseng extract.

All American ginseng extracts obtained from various processes of the present invention possess an anti-peptic ulcer effect. Moreover, these extracts may be added a physiologically acceptable carrier and/or formulated with a pharmaceutically acceptable excipient to obtain a pharmaceutical composition which is effective in treating or preventing peptic ulcers. The term "peptic ulcer" used hereinbefore and hereinafter refers to gastric ulcers and/or duodenal ulcers.

Without intending to limit it in any manner, the present invention will be further illustrated by the following examples which are associated with the design of the extraction step(s) and the assessment of pharmacological activity.

#### **EXAMPLE 1**

2,000 ml of deionized water was added to 200 g of chopped American ginseng and then heated to boil and further refluxed for 1 hour. The decoction was filtered through sieve gauge No. 200 (sieve pore 0.074 mm), and the filtrate (first filtrate) was collected. An additional 2,000 ml of deionized water was added to the residue of the American ginseng described above, and was refluxed and filtered as described above to give the second filtrate. These two filtrates were combined and centrifuged at 10,000 rpm for 30 minutes to remove microparticles and impurities. The supernatant (total extract) was then filtered through the ultrafiltration membrane with molecular weight cut off 1,000

(Amicon, Cat. No. S1Y1) to remove substances with molecular weight less than 1,000 dalton. The retentate containing substances with molecular weight greater than 1,000 dalton was concentrated under reduced pressure to give the extract I. The filtrate containing substances with molecular weight less than 1,000 dalton was concentrated to dry, and then 90% ethanol solution was added to dissolve those substances. The ethanol solution was filtered (Advantec No. 2) to obtain the soluble portion, and the resulting soluble portion was added into the extract I described above. The mixture was concentrated under reduced pressure to give the extract II.

#### EXAMPLE 2

The total extract described in EXAMPLE 1 was treated through an ultrafiltration membrane with molecular weight cut off 3,000 (Amicon, Cat. No. S1Y3) to remove substances with molecular weight less than 3,000 dalton. The retentate containing substances with molecular weight greater than 3,000 dalton was concentrated under reduced pressure to give the extract III. The filtrate containing substances with molecular weight less than 3,000 dalton was loaded onto a column packed with Diaion HP-20 resin (Sigma, Cat. No. I-3605). The column was first eluted with deionized water until the eluate was colorless, and then eluted with 95% ethanol and the eluate was collected. The 95% ethanol eluate was added into the extract III described above. The mixture was concentrated under reduced pressure to give the extract IV.

**EXAMPLE 3**

The total extract described in EXAMPLE 1 was loaded in a dialysis bag with molecular weight cut off 500 (Spectra/Por®, Cat. No. 131 057). Both ends of the bag were sealed with clamps. The bag was placed in a bucket contained deionized water, in which the ratio of the supernatant and deionized water was 1:10. The total extract was dialyzed at 4°C with stirring thrice each for 20 hours. The solution remaining in dialysis bag was collected and concentrated under reduced pressure to give the extract.

**EXAMPLE 4**

1,000 ml of 80% ethanol solution was added to 100 g of chopped American ginseng and was heated to boil and further refluxed for 1 hour. The decoction was filtered through sieve gauge No. 200, and the filtrate (first filtrate) was collected. An additional 1,000 ml of 80% ethanol solution was added to the residue of the ginseng and extracted as described above, to give the second filtrate. These two filtrates were combined and concentrated under reduced pressure to give the extract V.

**EXAMPLE 5**

**Assessment of the pharmacological activity of anti-peptic ulcer:**

The anti-peptic ulcer activity of American ginseng was assessed using the methods described by Robert A. et. al. (1979, *Gastroenterology* **77**:433-443), and Takagi I. and Okabe S. (1968, *Japan J. Pharmacol.* **18**:9-18), which is summarized below.

(1) The assessment of stress-induced ulcer:

Male Long Evans rats, weighing  $150 \pm 20$  g, were administrated American ginseng extracts orally after being fasted for 18 hours, while the control rats were administrated the same volume of distilled water orally. After 1 hour, the rats were placed in a holder and partially immersed in water at  $22 \sim 24^{\circ}\text{C}$  for 4 hours. The rats were then sacrificed and their stomachs were opened along the greater curvature for evaluation the degree of ulceration.

Gastric ulceration was scored according to an arbitrary system:

0 = no bleeding

1 = spot bleeding

2 = slight bleeding

3 = severe bleeding and half stomach bloodstained

4 = very severe bleeding and entire stomach bloodstained

Table 1. Effect of American ginseng extracts on stress-induced ulcer in rat.

Treatment	Dose (g/kg)	N	Inhibition (%) <sup>a</sup>
Total Extract	4	10	27.5±2.4
Extract I	4	10	42.5±3.6
Extract II	4	6	37.5±5.1
Extract III	4	10	42.5±3.6
Extract IV	4	6	51.2±3.8
Extract V	4	4	37.5±6.3

a: The inhibition rate (%) is calculated by the following equation:

$$[(\text{score of control animal}) - (\text{score of experimental animal})] / (\text{score of control animal}) \times 100\%$$

All the ulcer scores of the control rats were 4.

(2) The assessment of ethanol-induced ulcer:

Male Long Evans rats, weighing 150±20 g, were administered American ginseng extracts orally after being fasted for 18 hours, while the control rats were administered the same volume of distilled water orally. After 15 minutes, the rats were administered 1 ml of absolute ethanol. After 1 hour, the rats were sacrificed and gastric ulceration was scored according to an arbitrary system:

0 = no lesions

1 = hyperaemia

2 = one or two slight lesions

3 = more than two slight lesions or severe lesions

4 = very severe lesions

Table 2. Effect of American ginseng extract on ethanol-induced ulcer in rat.

Treatment	Dose (g/kg)	N	Inhibition (%) <sup>a</sup>
Total Extract	4	10	50.0±0.0
Extract I	4	10	50.0±0.0
Extract II	4	6	45.8±3.8
Extract III	4	10	40.0±5.2

a: The inhibition rate (%) is calculated by the following equation:

$$\frac{[(\text{score of control animal}) - (\text{score of experimental animal})]}{(\text{score of control animal})} \times 100\%.$$

All the ulcer scores of the control rats were 4.

The results of pharmacological assessment shown in Tables 1 and 2 reveal that American ginseng extracts of the present invention possess excellent inhibition effects of gastric ulcers induced by stress and alcohol. In addition, according to the present invention, the American ginseng extracted with water or ethanol solution and further treated by ultrafiltration, dialysis, precipitation with ethanol, or

Client's Ref.: PITDC-

File: 0641-5101-US/Final/Frank

reverse phase chromatography, possesses excellent anti-peptic ulcer effects.

While the invention has been particularly shown and described with the reference to the preferred embodiment  
5 thereof, it will be understood by those skilled in the art that various changes in form and details may be made without departing from the spirit and scope of the invention.



**WHAT IS CLAIMED IS:**

1       1. A pharmaceutical composition for preventing and/or  
2       treating peptic ulcer, comprising:

3       (i) an effective amount of American ginseng and/or the  
4       extract thereof; and

5       (ii) a physiologically or pharmaceutically acceptable  
6       carrier or excipient.

1       2. The pharmaceutical composition according to claim 1,  
2       wherein said American ginseng is *Panax quinquefolium* L..

1       3. The pharmaceutical composition according to claim 1,  
2       wherein said American ginseng extract is extracted with a  
3       solvent having a polarity higher than 0.88.

4       4. The pharmaceutical composition according to claim 3,  
5       wherein said American ginseng extract is extracted with 10%  
6       ~ 80% ethanol aqueous solution.

1       5. The pharmaceutical composition according to claim 3,  
2       wherein said American ginseng extract is extracted with  
3       water.

1       6. The pharmaceutical composition according to claim 1,  
2       wherein said American ginseng extract is extracted with  
3       water or 10% ~ 80% ethanol aqueous solution, centrifuged,  
4       and filtered through an ultrafiltration membrane with  
5       molecular weight cut off 1,000 to give a retentate  
6       containing substances with molecular weight greater than

7 1,000 dalton, and the retentate being concentrated to give  
8 an extract I.

1 7. The pharmaceutical composition according to claim 6,  
2 wherein said American ginseng extract is extracted with  
3 water or 10% ~ 80% ethanol aqueous solution, centrifuged,  
4 and filtered through an ultrafiltration membrane with  
5 molecular weight cut off 1,000 to give a filtrate containing  
6 substances with molecular weight less than 1,000 dalton, the  
7 filtrate being concentrated to dry, added ethanol solution  
8 to dissolve said substances, filtered said ethanol solution  
9 to obtain a soluble portion, added said soluble portion into  
10 said extract I as claimed in claim 6 to obtain a mixture,  
11 and concentrated said mixture to give the extract II.

1 8. The pharmaceutical composition according to claim 1,  
2 wherein said American ginseng extract is extracted with  
3 water or 10% ~ 80% ethanol aqueous solution, centrifuged,  
4 filtered through an ultrafiltration membrane with molecular  
5 weight cut off 3,000 to give a retentate containing  
6 substances with molecular weight greater than 3,000 dalton,  
7 and the retentate being concentrated to give an extract III.

1 9. The pharmaceutical composition according to claim 8,  
2 wherein said American ginseng extract is extracted with  
3 water or 10% ~ 80% ethanol aqueous solution, centrifuged,  
4 filtered through an ultrafiltration membrane with molecular  
5 weight cut off 3,000 to give a filtrate containing  
6 substances with molecular weight less than 3,000 dalton, the  
7 filtrate being loaded onto a reverse phase column, eluted  
8 with water, followed by ethanol solution and collected the

9 eluate, and then the 95% ethanol is combined with said  
10 extract III in claim 8 and concentrated to give extract IV.

1 10. The pharmaceutical composition according to claim 1,  
2 wherein said American ginseng extract is extracted with 30%  
3 ~ 90% ethanol aqueous solution followed by filtration and  
4 concentration to give extract V.

1 11. The pharmaceutical composition according to claim 1,  
2 wherein said peptic ulcer is a gastric ulcer or duodenal  
3 ulcer.

1 12. A process of preparing an American ginseng extract  
2 for preventing and/or treating peptic ulcers, comprising the  
3 steps of:

4 (a) extracting American ginseng with a solvent with a  
5 polarity higher than 0.88 to obtain an extract;

6 (b) filtering said extract to obtain a filtrate; and

7 (c) centrifuging said filtrate and collecting the  
8 supernatant to give the total extract.

1 13. The process according to claim 12, further  
2 comprising the step of ultrafiltrating said total extract to  
3 give a retentate and a filtrate.

1 14. The process according to claim 13, wherein said  
2 ultrafiltrating is a step in which said total extract is  
3 filtered by means of an ultrafiltration membrane with  
4 molecular weight cut off 1,000 or 3,000 to remove smaller  
5 molecules.

1           15. The process according to claim 12, further  
2 comprising the step of dialyzing said total extract.

1           16. The process according to claim 15, wherein said  
2 dialyzing is a step in which said total extract is dialyzed  
3 by means of a dialysis membrane with molecular weight cut  
4 off 500 to remove smaller molecules.

1           17. The process according to claim 13, further  
2 comprising a precipitating step in which said filtrate is  
3 concentrated to dry and then dissolved with 50% ~ 100%  
4 ethanol to obtain the soluble portion.

1           18. The process according to claim 13, further  
2 comprising the step of performing reverse phase  
3 chromatography of said filtrate.

1           19. The process according to claim 18, wherein said  
2 reverse phase chromatography is performing with a reverse  
3 phase polyaromatic resin column.

1           20. The process according to claim 12, wherein said  
2 American ginseng extract is extracted with 10% ~ 80% ethanol  
3 aqueous solution.

1           21. The process according to claim 12, wherein said  
2 American ginseng extract is extracted with water.

1           ~~22~~. A method for preventing and/or treating a patient  
2 suffering from peptic ulcer, comprising administrating an

Variable	Mean	Standard deviation	Minimum	Maximum
Age	34.5	10.5	20	65
Gender	0.5	0.5	0	1
Marital status	0.5	0.5	0	1
Education	12.5	1.5	10	16
Income	15.5	5.5	10	30
Health status	0.5	0.5	0	1
Smoking status	0.5	0.5	0	1
Alcohol consumption	0.5	0.5	0	1
Exercise frequency	0.5	0.5	0	1
Stress level	0.5	0.5	0	1
Sleep quality	0.5	0.5	0	1
Work satisfaction	0.5	0.5	0	1
Life satisfaction	0.5	0.5	0	1
Depression score	10.5	5.5	0	30
Anxiety score	10.5	5.5	0	30
Quality of life score	10.5	5.5	0	30

Variable	Mean	Standard deviation	Minimum	Maximum
Age	35.2	12.5	18	65
Gender	0.52	0.50	0	1
Marital status	0.68	0.48	0	1
Education	12.5	2.5	8	16
Income	15.2	8.5	5	35
Health status	0.75	0.43	0	1
Employment status	0.82	0.38	0	1
Home ownership	0.91	0.29	0	1
Vehicle ownership	0.78	0.41	0	1
Life satisfaction	4.2	1.5	1	7
Subjective health	3.8	1.2	1	6
Life expectancy	78.5	5.2	65	90
Healthcare expenditure	12.5	3.5	5	25
Health insurance coverage	0.95	0.23	0	1
Physical activity	0.65	0.48	0	1
Dietary habits	0.72	0.45	0	1
Tobacco use	0.15	0.36	0	1
Alcohol consumption	0.25	0.43	0	1
Stress levels	4.5	1.8	1	7
Social support	5.2	1.5	1	7
Community engagement	3.5	1.2	1	6
Environmental quality	4.8	1.5	1	7
Public safety	5.5	1.2	1	7
Economic stability	6.2	1.5	1	7
Political participation	4.5	1.8	1	7
Cultural heritage	5.8	1.2	1	7
Language proficiency	6.5	1.5	1	7
Religious beliefs	5.2	1.5	1	7
Artistic interests	4.8	1.5	1	7
Volunteer work	3.2	1.2	1	6
Charitable contributions	2.5	1.0	1	5
Philanthropic activities	2.8	1.2	1	5
Leadership roles	3.5	1.5	1	6
Networking activities	4.2	1.8	1	7
Professional development	5.5	1.2	1	7
Entrepreneurial spirit	4.8	1.5	1	7
Innovation mindset	5.2	1.5	1	7
Resilience	5.8	1.2	1	7
Adaptability	6.2	1.5	1	7
Problem-solving skills	6.5	1.5	1	7
Emotional stability	6.8	1.2	1	7
Self-awareness	7.2	1.5	1	7
Empathy	6.5	1.5	1	7
Interpersonal skills	6.8	1.2	1	7
Communication skills	7.2	1.5	1	7
Teamwork	6.5	1.5	1	7
Conflict resolution	6.8	1.2	1	7
Decision-making	7.2	1.5	1	7
Time management	6.5	1.5	1	7
Organization skills	6.8	1.2	1	7
Productivity	7.2	1.5	1	7
Efficiency	6.5	1.5	1	7
Resourcefulness	6.8	1.2	1	7
Initiative	7.2	1.5	1	7
Proactivity	6.5	1.5	1	7
Responsibility	6.8	1.2	1	7
Accountability	7.2	1.5	1	7
Integrity	6.5	1.5	1	7
Honesty	6.8	1.2	1	7
Trustworthiness	7.2	1.5	1	7
Reliability	6.5	1.5	1	7
Consistency	6.8	1.2	1	7
Stability	7.2	1.5	1	7
Endurance	6.5	1.5	1	7
Persistence	6.8	1.2	1	7
Perseverance	7.2	1.5	1	7
Resilience	6.5	1.5	1	7
Adaptability	6.8	1.2	1	7
Flexibility	7.2	1.5	1	7
Open-mindedness	6.5	1.5	1	7
Curiosity	6.8	1.2	1	7
Learning orientation	7.2	1.5	1	7
Growth mindset	6.5	1.5	1	7
Self-improvement	6.8	1.2	1	7
Personal development	7.2	1.5	1	7
Life goals	6.5	1.5	1	7
Values	6.8	1.2	1	7
Beliefs	7.2	1.5	1	7
Attitudes	6.5	1.5	1	7
Emotions	6.8	1.2	1	7
Thoughts	7.2	1.5	1	7
Behaviors	6.5	1.5	1	7
Actions	6.8	1.2	1	7
Choices	7.2	1.5	1	7
Decisions	6.5	1.5		

Variable	Mean	Standard deviation	Minimum	Maximum
Age	34.5	10.2	22	55
Gender	0.5	0.5	0	1
Marital status	0.6	0.5	0	1
Education	12.5	1.5	10	15
Income	1500	500	1000	2500
Health status	0.8	0.2	0	1
Employment status	0.7	0.5	0	1
Home ownership	0.6	0.5	0	1
Vehicle ownership	0.4	0.5	0	1
Life satisfaction	4.5	1.0	3	6
Life expectancy	75	5	65	85
Healthcare expenditure	1000	300	700	1500
Life expectancy at birth	75	5	65	85
Life expectancy at age 65	15	3	10	20
Life expectancy at age 75	10	2	5	15
Life expectancy at age 85	5	1	2	8
Life expectancy at age 95	2	0.5	1	3
Life expectancy at age 105	1	0.2	0	2
Life expectancy at age 115	0.5	0.1	0	1
Life expectancy at age 125	0.2	0.05	0	0.5
Life expectancy at age 135	0.1	0.02	0	0.2
Life expectancy at age 145	0.05	0.01	0	0.1
Life expectancy at age 155	0.02	0.005	0	0.05
Life expectancy at age 165	0.01	0.001	0	0.02
Life expectancy at age 175	0.005	0.0005	0	0.01
Life expectancy at age 185	0.002	0.0002	0	0.005
Life expectancy at age 195	0.001	0.0001	0	0.002
Life expectancy at age 205	0.0005	0.00005	0	0.001
Life expectancy at age 215	0.0002	0.00002	0	0.0005
Life expectancy at age 225	0.0001	0.00001	0	0.0002
Life expectancy at age 235	0.00005	0.000005	0	0.0001
Life expectancy at age 245	0.00002	0.000002	0	0.00005
Life expectancy at age 255	0.00001	0.000001	0	0.00002
Life expectancy at age 265	0.000005	0.0000005	0	0.00001
Life expectancy at age 275	0.000002	0.0000002	0	0.000005
Life expectancy at age 285	0.000001	0.0000001	0	0.000002
Life expectancy at age 295	0.0000005	0.00000005	0	0.000001
Life expectancy at age 305	0.0000002	0.00000002	0	0.0000005
Life expectancy at age 315	0.0000001	0.00000001	0	0.0000002
Life expectancy at age 325	0.00000005	0.000000005	0	0.0000001
Life expectancy at age 335	0.00000002	0.000000002	0	0.00000005
Life expectancy at age 345	0.00000001	0.000000001	0	0.00000002
Life expectancy at age 355	0.000000005	0.0000000005	0	0.00000001
Life expectancy at age 365	0.000000002	0.0000000002	0	0.000000005
Life expectancy at age 375	0.000000001	0.0000000001	0	0.000000002
Life expectancy at age 385	0.0000000005	0.00000000005	0	0.000000001
Life expectancy at age 395	0.0000000002	0.00000000002	0	0.0000000005
Life expectancy at age 405	0.0000000001	0.00000000001	0	0.0000000002
Life expectancy at age 415	0.00000000005	0.000000000005	0	0.0000000001
Life expectancy at age 425	0.00000000002	0.000000000002	0	0.00000000005
Life expectancy at age 435	0.00000000001	0.000000000001	0	0.00000000002
Life expectancy at age 445	0.000000000005	0.0000000000005	0	0.00000000001
Life expectancy at age 455	0.000000000002	0.0000000000002	0	0.000000000005
Life expectancy at age 465	0.000000000001	0.0000000000001	0	0.000000000002
Life expectancy at age 475	0.0000000000005	0.00000000000005	0	0.000000000001
Life expectancy at age 485	0.0000000000002	0.00000000000002	0	0.0000000000005
Life expectancy at age 495	0.0000000000001	0.00000000000001	0	0.0000000000002
Life expectancy at age 505	0.00000000000005	0.000000000000005	0	0.0000000000001
Life expectancy at age 515	0.00000000000002	0.000000000000002	0	0.00000000000005
Life expectancy at age 525	0.00000000000001	0.000000000000001	0	0.00000000000002

**TITLE**

**ANTI-ULCER PHARMACEUTICAL COMPOSITION AND THE PREPARATION  
THEREOF**

5

**ABSTRACT OF THE DISCLOSURE**

10 The invention discloses a pharmaceutical composition  
for preventing and/or treating peptic ulcer, including  
American ginseng or the extract thereof, and a method for  
preparing American ginseng extract, said method including  
extracting American ginseng with water or ethanol aqueous  
solution, and then ultrafiltrating, dialyzing, precipitating  
with ethanol, or performing reverse phase chromatography to  
obtain various fractions of extract with anti-peptic ulcer  
effect.

## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ANTI-ULCER PHARMACEUTICAL COMPOSITION AND THE PREPARATION the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_.

☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application(s) of which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE	PRIORITY CLAIMED
Taiwan, R.O.C.	89100334	11/01/2000	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Eric L. Prah, Reg. No. 32,590, and Y. Rocky Tsao, Reg. No. 34,053; Frank R. Occhiuti, Reg. No. 35,306.

Address all telephone calls to Eric L. Prah at telephone number 617/542-5070.

Address all correspondence to Eric L. Prah, Fish & Richardson P.C., 225 Franklin Street, Boston, MA 02110-2804.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: Feng-Nien KO  
 Inventor's Signature: Feng-Nien Ko Date: Feb. 21, 2000  
 Residence Address: Same as Post Office Address (Below)  
 Citizen of: Taiwan, R.O.C.  
 Post Office Address: 4F, No. 11, Lane 9, San-Fu St., Taipei, Taiwan, R.O.C.

## COMBINED DECLARATION AND POWER OF ATTORNEY

Full Name of Inventor: Chien-Jen SHIHInventor's Signature: Chien-Jen Shih Date: Feb. 21, 2000Residence Address: Same as Post Office Address (Below)Citizen of: Taiwan, R.O.C.Post Office Address: 4F, No. 14, Sec. 3, Cheng-Te Rd., Taipei, Taiwan, R.O.C.Full Name of Inventor: Je-Yie LINInventor's Signature: Je-Yie Lin Date: Feb. 21, 2000Residence Address: Same as Post Office Address (Below)Citizen of: Taiwan, R.O.C.Post Office Address: 6F, No. 3, Alley 3, Lane 39, Fu-Te 1 Rd., Hsi-Chih, Taipei Hsien, Taiwan, R.O.C.Full Name of Inventor: Pey-Chyi WUInventor's Signature: Pey-Chyi Wu Date: Feb. 21, 2000Residence Address: Same as Post Office Address (Below)Citizen of: Taiwan, R.O.C.Post Office Address: 1F, No. 9, Lane 146, Wan-Sheng St., Taipei, Taiwan, R.O.C.Full Name of Inventor: Mo-Chi CHENGInventor's Signature: Mo-Chi Cheng Date: Feb. 21, 2000Residence Address: Same as Post Office Address (Below)Citizen of: Taiwan, R.O.C.Post Office Address: No. 6, Alley 6, Lane 79, Sec. 2, Mu-Hsin Rd., Taipei, Taiwan, R.O.C.

Full Name of Inventor: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

005050" 442250